

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



00909

RULE 53(f) NO DECLARATION

U.S. Commissioner of Patents

Atty. Dkt.

P 281351

T4TY-01S0006-

Washington, DC 20231

M#

Client Ref

Date:

June 5, 2001

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title:

OPTICAL DISK APPARATUS AND METHOD OF ADJUSTING THE SAME

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 75 Pages of Specification (only spec. and claims);

5. ☐ Specification in non-English language

6. 34 Numbered claim(s); and

7. ☒ Drawings: 16 sheet(s) ☐ 1 set informal;8. ☒ formal of size: ☒ A4 ☐ 11"

DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

9. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Japan

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|--------------|---|-----------------|
| (1) 2000-169537 | June 6, 2000 | (2) 2000-308098 | October 6, 2000 |
| (3) | | (4) | |
| (5) | | <input type="checkbox"/> See 3 rd page for additional priorities | |

11. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____12. ☐ This is a reissue of Patent No. _____13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. _____ / filed _____

No. _____ / filed _____

No. _____ / filed _____

No. PCT/ _____ / filed _____, which

designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pre-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)JC978 U.S. PTO
09/873408

18. ☐ Assignee (optional):

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

| | | | |
|--------------------|---|-----------------------|------------------------|
| (1) Inventor | Minoru | | Yonezawa |
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| | | | |
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| | | | |
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| (3) Inventor | Shinichiro | | Arakawa |
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21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP
Intellectual Property Group



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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments